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From: Alan S. Hodes (Registration No. 38,185)

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#### Comments:

### OFFICIAL FILING for REQUEST TO WITHDRAW FINALITY OF OFFICE ACTION FINAL REJECTION **IMPROPER UNDER MPEP 706.07(A)**

ATTORNEY DOCKET: 426882005100

ATTY/Secy: ASH3/cgm1

SERIAL NO.: 10/072,421

FILING DATE: February 5, 2002

INVENTOR: Mei CHUAH

TITLE: DISPLAY PARTICULARLY CONFIGURED FOR VISUALIZING TRENDS IN DATA

#### Papers enclosed herewith:

1. Transmittal Form- 1 page

2. Request to Withraw Finality of Office Action Final Rejection Impoper Under MPEP 706.07(A) - 3 pages

PATENT Docket No. 426882005100 Client Reference 01349-00/US

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Mei CHUAH

Serial No.:

10/072,421

Filing Date:

February 5, 2002

For:

DISPLAY PARTICULARLY

CONFIGURED FOR VISUALIZING

TRENDS IN DATA

Examiner: D. Felten

Group Art Unit: 3624

**OFFICIAL** 

## REQUEST TO WITHDRAW FINALITY OF OFFICE ACTION FINAL REJECTION IMPROPER UNDER MPEP 706.07(A)

FAX RECEIVED Box AF MAR 0 6 2003

Assistant Commissioner for Patents Washington, D.C. 20231

**GROUP 3600** 

Dear Sir:

This communication is responsive to the Office Action mailed February 21, 2003 ("February 21 Office Action") in the above-referenced patent application. The February 21 Office Action was indicated as being FINAL. It is respectfully submitted that, under the circumstances of this application, it was improper for the February 21 Office Action to be indicated as being FINAL.

In particular, under MPEP 706.07(a) a second or any subsequent action on the merits in any application ... will not be made final if it includes a rejection, on newly cited art, other than

> Serial No. 10/072,421 Docket No. 426882005100

PTO/SB/21 (08-0)  Please type a plus sign (+) inside this box   Approved for use through 10/31/02. OMB 0651-003  U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCO  Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number					
TRANSMITTAL FORM		Application Number	10/072,421		
		Filing Date	February 5, 2002		
		First Named Inventor	Mei CHUAH		
		Group Art Unit	3624		
′ (to be used for all correspondence after initial filing)			Examiner Name	D. Felten	
Total Number Of Pages In This Submission 4		4	Attorney Docket No.	426882005100 (Cilent Ref. No. 01349-00/US)	
ENCLOSURES (check all that apply)					
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Amendment / Reply		Lio	ensing-related Papers	$\overline{\Box}$	Appeal Communication to Group
After Final	After Final		lition		(Appeal Notice, Brief, Reply Brief)  Proprietary Information
Affidavits/de			tition to Convert to a		Status Letter
		Power of Attorney, Revocation Change of Correspondence Address  Other Enclosure(s) (please identify below):  1. Request to Withdraw Finality of Office			•
Extension of Time Request					below):  1. Request to Withdraw Finality of Office
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Certified Copy of Priority Document(s)		Remarks			
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Response to Missing Parts under 37 CFR 1.52 or 1.53					
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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT					
Firm Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018					
or   Alan S. Hodes (Registration No. 38,			,185)		
Signature		کٹر			
Date March 5, 2003					
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Carolyn McKubbs

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PATENT Docket No. 426882005100 Client Reference 01349-00US

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Carolyn McKubre

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Mei CHUAH

Serial No.:

10/072,421

Filing Date:

February 5, 2002

For:

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Examiner: D. Felten Group Art Unit: 3624

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> Serial No. 10/072,421 Docket No. 426882005100

information submitted in an information disclosure statement filed under 37 CFR 1.97(c) with [a fee], of any claim not amended by applicant ... in spite of the fact that other claims may have been amended to require newly cited art. In other words, if the final rejection is improper to one independent claim, the finality is premature.

In this case, the final rejection is improper at least to independent claim 1. In the Office Action mailed September 5, 2002 ("September 5 Office Action"), independent claim 1 was rejected as being obvious in view of Marshall. Applicant traversed the rejection without substantively amending claim 1. Nonetheless, the Examiner has now rejected independent claim 1 as being obvious over Marshall in view of Hatori. This is a new ground of rejection which, contrary to the Examiner's assertions on Page 12 of the Office Action, was not necessitated by Applicant's amendment.

Applicant therefore respectfully requests that the finality of the February 21 Office Action be withdrawn.

<sup>&</sup>lt;sup>1</sup> Independent claim 1 was amended to address an "informality" -- namely to delete "VC" and substitute "--venture capital ("VC")-- as required by the Examiner. However, a final rejection proper only when the new ground of rejection was "necessitated by amendment to the claims" or for other reasons not relevant here. Clearly, the amendment to address the informality did not necessitate the new ground of rejection of independent claim 1.

The Assistant Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17, and 1.21 that may be required by this transmittal, or to credit any overpayment, to **Deposit Account No. 03-1952** referencing docket no. 426882005100.

Respectfully submitted,

Dated:

March 5, 2003

By:

Alan S. Hodes Registration No. 38,185

Morrison & Foerster LLP 755 Page Mill Road

Palo Alto, California 94304-1018 Telephone: (650) 813-5600

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